

03/01/02



1048 U.S. PTO

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1048 U.S. PTO

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03/01/02

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47862/DBP/Y35
Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Kyou-Yoon Sheem
Title : CARBONACEOUS MATERIAL AND LITHIUM SECONDARY
BATTERIES COMPRISING SAME
Express Mail Label No. : EL903019181US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: March 1, 2002

1. X **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

___ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

48 Specification, claims and Abstract (total pages)

Drawings

5 Sheets of formal drawing(s) (FIGS. 1 to 7)

Declaration and Power of Attorney

X Newly executed

___ Unexecuted declaration

___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** (Appendix)

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

___ Computer Readable Copy

___ Paper Copy (identical to computer copy)

___ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

___ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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Docket No.: 47862/DBP/Y35

7. ALSO ENCLOSED ARE

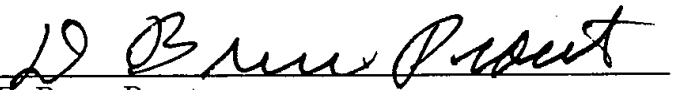
- ☒ Preliminary Amendment
☒ Includes "Cross-Reference to Related Applications"
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copies of IDS Citations
☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
☐ English Translation Document (*if applicable*)
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: March 1, 2002

Docket No. : 47862/DBP/Y35
Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Kyou-Yoon Sheem
Title : CARBONACEOUS MATERIAL AND LITHIUM SECONDARY BATTERIES
COMPRISING SAME Duplicate X

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	13 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	3 - 3	0	x \$42.00	0 x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$740.00
List Independent Claims: 1, 12, and 13					

METHOD OF PAYMENT

- X Payment Enclosed: Check for \$740.00
- X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout
D. Bruce Prout
Reg. No. 20,958
626/795-9900